## CORPORATE DEPOSIT (For applicants with 4th letter of PAN as G. L or C) JAJ FINANCE LIMITED PLEASE USE BLOCK LETTERS AND TICK (<) IN APPROPRIATE PLACES FOR OFFICE USE:Broker Code: Date D D M M Y Y Y ication Form No.: Scheme Code: Name D D M M Y Y Y Y Company Identification Number \_\_\_\_\_ Date of Incorporation Mobile Landline S T D PHONE NUMBER Company Details Correspondence Address / Principal place of business \_\_\_\_ Registered Address Email ID \_\_\_\_\_ Company Registration Number \_\_\_\_\_ Local Authority Co. registered u/s 8 of Companies Act 2013 or u/s 25 of Companies Act 1956 Statutory Board \_\_\_ Type of entity: Cooperative society registered under the Cooperative Societies Act Banks and Financial Institutions \_ Others Other companies \_\_\_ 1) Name Email ID Residential Status: Resident NRI MOBILE Director Identification No. / Designated Partner Identification No \_\_\_ **Authorized Signatories** Email ID \_\_\_\_\_\_ Residential Status: Resident \_\_\_ NRI \_ **MOBILE** Director Identification No. / Designated Partner Identification No \_\_\_\_ Email ID \_\_\_\_\_\_ Residential Status: Resident \_\_\_ NRI \_\_ MOBILE Director Identification No. / Designated Partner Identification No Investment Fresh Renewal If Renewal, existing Deposit No. Maturity Date of existing deposit D D M M Y Y Y Y nvestment Details Fresh Deposit Amount Numeric Rs.\_ \_\_ Words \_\_\_ OR Deposit term in days OR Desired maturity date DDDMMMYYYYY Deposit Term in months Rate of Interest **Deposit Option** Cumulative \_\_\_\_ Non-cumulative \_\_\_\_ Note: Non cumulative option allowed only for deposits greater than or equal to 12 months tenor. Interest payment frequency will be only annually Payment details (Account payee cheque to be drawn in favour of "Bajaj Finance Ltd. Account Number 00070350011157") Payment Details Bank Name \_\_\_\_\_\_ Bank Account Number \_\_ Branch \_\_\_\_\_\_ IFS Code \_\_\_\_\_ Account Type \_ UTR/Reference No. (Applicable for deposit amount electronically transferred through RTGS/NEFT) \_ Account Name: BAJAJ FINANCE LIMITED BFICD7 Account No.: BFICD7 Bank Name: HDFC BANK LTD Branch Name: BHANDARKAR ROAD BRANCH, PUNE IFS Code: HDFC0000007 Customer is required to submit certified true copy of the following documents to comply with KYC requirements: 1. Certificate of incorporation/registration and Memorandum & Articles of Association is required. For Statutory bodies, a certified copy of the permission granted by the Government authority/ respective Ministry is required.

- Board resolution/resolution passed by institutions/societies permitting investment, opening a deposit and claiming the maturity proceeds.
- PAN card of the company
- Latest telephone/electricity bill or bank account statement and a cancelled cheque
- An officially valid document (PAN, passport, driving license, Voter's identity card) identifying the persons authorized for such transactions and their addresses. In case such person is a director, then Directors Identification no. is mandatory above
- BO declaration is required to be filled by every non-individual.
- For entities other than companies, any document to establish the legal existence of such an entity/ juridical person.
- Document specifying the names of the relevant persons holding senior management position
- Address proof of registered office and the principal place of its business, if it is different.

### **Customer's Consent and Confirmation**

I/We confirm and agree that:

- All particulars, information and details provided above together with documents submitted to Bajaj Finance Limited ("BFL") are true, correct and up to date and I/We am/are obliged to keep BFL immediately updated of any change in the information provided by me in this Application Form. I/we hereby agree to provide all other documents and information, in such form and manner, as may be prescribed by BFL in relation to this application. I/We confirm that BFL is under no obligation to verify the information, details and/or documents submitted hereunder and BFL shall not be liable for any loss suffered by any person due to reliance on such information, details and/or documents.
- I/We confirm that I/We have read and understood the detailed Terms and Conditions annexed to this Application ("Terms") including the interest rate and other charges, the financials and other statements/particulars/representations furnished by BFL and the applicant shall be bound by the said Terms including any additions/amendments therein from time to time.
- The amount placed under deposit is received through legitimate sources and/or is not designed for the purpose of any contravention or evasion of the provisions of any applicable laws including but not limited to the Prevention of Money Laundering Act, 2002 and any rules, regulations, notifications, guidelines or directions thereunder, as amended from time to time.
- I/We agree that any and all information provided by me/us in this application, all deposit(s) held by me/us with BFL may be disclosed by BFL to any statutory/regulatory/judicial authorities as and when required and to provide any additional document and/or information as may be prescribed by BFL/said authorities in relation to this Application.

Signature of all Authorized Signatories along with stamp of the applicant organization:

First	Second	Third

#### **TERMS AND CONDITIONS**

- 1. These Terms and Conditions together with terms contained in the application form and Deposit Receipt shall apply to and govern the Corporate Deposit(s) ("Deposit"), (collectively, the "Terms"). By submitting the application form to Bajaj Finance Limited ("BFL") for opening a Deposit Account, the deposit holder confirms that it will be bound by these terms and conditions.
- 2. Deposits will not be accepted in cash. BFL reserves the right to accept or reject any application received for opening or placing the Deposit ("Application") without assigning any reason whatsoever. The amount in relation to such rejected Application will not earn any interest and the said amount will be refunded as is within 24 hours except in case of failure on applicant's part to submit documents in relation to the Application, as required by BFL.
- 3. The entities incorporated/registered/constituted in India and carrying business in India are eligible for placing Deposit with BFL.
- 4. For placing the Deposit, the applicant shall submit to the satisfaction of BFL, the application form along with all documents detailed in the application form or such other documents prescribed by BFL from time to time.
- 5. The minimum deposit amount is Rs.25000/-
- 6. The applicant may transfer funds to BFL through a Real Time Gross Settlement (RTGS) transaction or a cheque, as per the details provided below:

#### **Investment in Corporate deposit**

Bank account details for remittance of funds through RTGS:

Beneficiary name: BAJAJ FINANCE LIMITED BFICD7

IFSC code: HDFC0000007

Account number: BFICD7

Bank name and branch: HDFC BANK LTD, BHANDARKAR ROAD BRANCH, PUNE

- 7. Interest will be calculated from date of realization of cheque/receipt of RTGS funds towards the Deposit.
- 8. Interest will be paid on the following dates:
  - (a) In case of non-cumulative Deposits, the interest will be paid on March 31st
  - (b) In case of cumulative Deposits, the interest will be paid on or after the date of maturity of the Deposit
- 9. No additional ROI benefit will be given on renewal of the deposit.
- 10. Interest (net of tax deducted at source, where applicable) will be paid through electronic payment instruction only, to the bank account of the depositholder as mentioned in the application form, or such other bank account as intimated to BFL from time to time, jointly by all authorised signatories, in writing. If, electronic payment instruction facility is not available, interest shall be paid by "Account Payee" cheque drawn in favour of the depositholder.
- 11. Income-tax, wherever applicable, will be deducted at source from interest in accordance with Section 194-A of the Income Tax Act, 1961.
- 12. Deposit holder may avail Loan against the Deposit subject to fulfilment by depositor(s) of the eligibility criteria, execution of applicable documents and other conditions, as may be prescribed by BFL in this regard.
- 13. BFL shall have the first and paramount right of lien and set off, irrespective of any other lien or charge, present as well as future, on all deposits of the depositholder with BFL to the extent of any outstanding dues payable by the depositholder to BFL.
- 14. BFL will make repayment of the Deposit on the date of maturity of such Deposit, as per the bank account details provided in the application form, through NEFT/RTGS payment mode only. In case the date of maturity happens to be a non-working/ Non-Banking day the maturity payout will be done on the previous working/banking day. Interest payable would be only up to the day maturity proceeds are paid out. For renewal and repayment of the Deposit, a duly discharged Deposit Receipt must be surrendered to BFL at least two days prior to the date of maturity of the Deposit. For renewal of the Deposit, a fresh application form will be required to be submitted along with the discharge Deposit Receipt. Renewal of the Deposit will be subject to the rate of interest and other terms & conditions prevailing on the date of such renewal.
- 15. Request for premature withdrawal may be permitted at the sole discretion of BFL and penalties will be as per the terms of the deposit. Income tax wherever applicable and deducted at source and remitted to the applicable tax authority by the Company on behalf of the depositor, before premature withdrawal of Deposit(s), shall not be refunded in any circumstance whatsoever.
- 16. **Restriction:** Deposit(s) is/are not transferable and non-assignable. Third party lien on deposit is NOT permitted under any circumstance except in favour of the holding/subsidiary and /or Group Companies of BFL.
- 17. By submitting this Application, I/we hereby expressly consent and authorise BFL/ its representatives/its agents/ its business partners/its group companies/its affiliates to send me any communication regarding products/services offered by them using various communication channels, such as, telephone, calls/SMS/bitly/bots/emails/post etc, irrespective of rejection of my/our Application.
- 18. Any change in the details provided in the application form shall be carried out only upon receipt of written instructions from the authorized signatory or from registered email ID of the depositholder.
- 19. Any oral communication, representation or assurances made to you by any person with regard to the Financial Products and Services, whether on behalf of BFL or in their independent capacity, are not to be relied upon unless the same is captured in the documents in writing.
- 20. The Terms shall be governed by and construed in accordance with the laws of India. All matters arising out of these Terms and the Deposit shall be subject to the exclusive jurisdiction of the courts or tribunals (as the case may be) at Pune, India.
- 21. In case of any query, please get in touch with the Customer Care at wecare@bajajfinserv.in.

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Provisional Receipt			Application Number		
Received from _		Cheque No		for INR	
dated	drawn on	Bank			_ Branch
towards		Scheme for a period of	months at	% interest pe	r annum.
Reference No				For BAIAL FINA	ANCE ITD

\*This Provisional Receipt is valid only till the issuance of the Fixed Deposit Receipt

## Bajaj Finance Ltd

Registered Address: Old Mumbai-Pune Road, Akurdi, Pune - 411035.

Corporate Office: 4th Floor, Bajaj Finserv Corporate Office, Off Pune Ahmednagar Road, Viman Nagar, Pune - 411014.

Phone: 020 3957 5152 | Email: wecare@bajajfinserv.in | CIN: L65910MH1987PLC042961

Applica	ation Form No.:					
FATC	A/CRS Details for non-Indi	ividual Ap	plicants (n	nanda	atory)	
Name d	f the entity					
Type of	address given at KYC: Residential or Busines:	s Residenti	ial Busine	ess	Registered Off	fice
Note: "A n case	ddress of tax residence would be taken as a of any change, please approach KRA & notify	vailable in KRA (K / the changes"	(YC Registration A	igency) d	atabase.	
PAN			Date of incorpora	ition:		
City of i	ncorporation		Country of incorp	oration		
Entity Co	onstitution Type: Partnership Firm HUF Limited Liability Partnership					or Company
	ick the applicable tax resident declaration –  y" a tax resident of any country other than In	dia? Yes _	No			
	olease fill below details for all countries (othe tion on the next page	er than India) in w	hich the entity is	a resider	nt for tax purpose	es as well as Ultimate Beneficiary Ownership (UBO)
	Country of tax residency	Tax Identifica	tion number or	function	al equivalent	Identification type (TIN or other, please specify)*
In case	the Entity Country of Incorporation/Tax	sult your profession	FATCA & CR onal tax advisor fo S but entity is n	<b>S Declar</b> or further ot specif	ation guidance on FAT ied US person	
			oe enclosed wit		ation torm tor e	ease of customer to mention the exemption code san
Code	Sub catego  An organization exempt from tax under section 50'		retirement plan	Code	A real estate inve	Sub category
	as defined in section 7701(a)(37)			Н	A regulated invest	tment company as defined in section 851 or an entity registered at all
С	The United States or any of its agencies or instrum  A state, the District of Columbia, a possession of th		ny of their political	ı		tax year under the Investment Company Act of 1940  und as defined in section 584(a)
D	subdivisions or instrumentalities  A corporation the stock of which is regularly tradec	t on one or more est	ahlished securities	J	A bank as defined	l in section 581
	markets, as described in Reg. section 1.1472-1(c)(1)	(i)		K L	A broker  A trust exempt fro	om tax under section 664 or described in section 4947(a)(1)
E	A corporation that is a member of the same expan described in Reg. section 1.1472-1(c)(1)(i)	ided affiliated group	as a corporation	M	A tax exempt trus	t under a section 403(b) plan or section 457(g) plan
F		curities, commodities, or derivative financial instruments (including notional acts, futures, forwards, and options) that is registered as such under the nited States or any state				
PART A	[to be filled by Financial Institutions or Direc	ct Reporting Non-	-Financial Entities	(NFEs)]		
We a	re a:		GIIN (consists of	19 chara	cters)	
			GIN is applied			
Direct reporting NFE please provide		our spon	sor's GIIN above	re sponsored by another entity, and indicate your sponsor's name below		
	not available and If the entity is a financial ir  (please fill any one as appropriate "to be fil					pply for Not obtained – Non-participating FI
1.	Is the Entity an active NFE	-	Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business			
2.	Is the Entity a passive NFE		Yes (If yes, please fill UBO declaration in the next section.) No Nature of Business			

Ultima	te Beneficiary Ownership (UBO) declaration (to be filled	d by a non-individual tax resident of any co	ountry other than India)
Name o	of the entity		
Entity C	onstitution Type: Company LLP Cooperative so	ciety registered under the Cooperative Socie	eties Act
	list below the details of controlling person(s), confirming ALL co I controlling person(s):	untries of tax residency / permanent residency /	' citizenship and ALL Tax Identification Numbers
1.	Name of Beneficial owner / Controlling person  Country of Tax residency  Tax ID No Or functional equivalent for each	Tax ID Type (TIN or Other, please specify)  Beneficial Interest %  Address type: Residential Business	Address - Include State, Country, PIN / ZIP Code & Contact Details:
	country	Registered Office	
2.	Name of Beneficial owner / Controlling person	Tax ID Type (TIN or Other, please specify)  Beneficial Interest %	Address - Include State, Country, PIN / ZIP Code & Contact Details:
	Tax ID No Or functional equivalent for each country	Address type: Residential Business Registered Office	
3.	Name of Beneficial owner / Controlling person	Tax ID Type (TIN or Other, please specify)  Beneficial Interest %	Address - Include State, Country, PIN / ZIP Code & Contact Details:
	Tax ID No Or functional equivalent for each country	Address type: Residential Business  Registered Office	
	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others	idency / citizenship / Green Card in any country of Occupation Type - Service, Business, Others	Date of birth
	Tax ID No Or functional equivalent for each country	Father's Name	
2.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others  Country of Tax residency  Tax ID No Or functional equivalent for each country	Occupation Type - Service, Business, Others Nationality Father's Name	Date of birth  Gender: Male Female Other
3.	PAN, Passport, Election ID, Govt. ID, Driving Licence, NREGA Job Card, Others  Country of Tax residency  Tax ID No Or functional equivalent for each country	Occupation Type - Service, Business, Others Nationality Father's Name	Date of birth  Gender: Male Female Other
by me accept Decla I/ we u hold ci hold th	cation: have understood the information requirements of this Form (reforms on this Form is true, correct, and complete. If the also contends the same.  ration: understand that my/our purchase from the Company may create I tizenship or reside in. If we shall be solely responsible for undertane Company liable under any circumstance in the event of a defactors/tax authorities the Company may also be required to report,	nfirm that I /We have read and understood the FA legal, tax or other financial/ reporting obligations f aking and fulfilling any obligations that I/we may f ult on my/our part in fulfilling the said obligations.	or me/us under the laws of the country of which I/we nave under the laws of such country/ies and shall not I/ We agree that, as may be required by domestic
	Signature of first authorized signatory second	Signature of	Signature of third authorized signatory

#### **Beneficial Ownership Declaration** Date: DDMMMYYYY To. The Branch Manager, Branch. Bajaj Finance Ltd. Deposit ID (for existing customers) Customer ID (for existing customers) \_ In case the Applicant is listed on any stock exchange or is a subsidiary of an entity, which is listed on a stock exchange, please fill only the below highlighted section, otherwise mentioned complete details in Section I (i) and Section I (ii), as applicable Name of the Entity: Pvt Ltd Co. Partnership Firm Unincorporated Association or Body of Individuals Listed Entity on Stock Exchange Type of the Entity: Trust Others Address of the Entity: In case the Applicant is listed on any stock exchange or is a subsidiary of an entity which is listed on a stock exchange in India or is an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions please fill only the below section and sign in Section II. I/We the undersigned hereby declare that our company/holding company is listed on \_ Stock Exchange. Name of the Holding Company, M/s In case of Any Entity other than a Listed Company, Section – I, and if applicable, Section – II to be ticked and filled in. Section - I (i) I / We, the undersigned, hereby declare that following persons own Controlling Ownership Interest of more than 10% in case of Company, 10 % or more in case of Trust, more than 10% in case of Partnership Firm and more than 15% in case of Unincorporated Association or Body of Individuals. (Refer Notes - A) Name of Shareholders / Address of Share-holders / Beneficial Details of KYC PAN / Nationality % of Shareholding / No. Beneficial Owners / Beneficial Owners / Owner Type Doc. & KYC Registration No. Profits / Interest / Partners / Trustees etc. (Refer Note C) Identifier Ownership in Partners / Trustees etc. the Entity 1. 2. 3. 4. Please use additional sheet in case of more information to disclose Section - I (ii) Applicable only if there is / are non-individual shareholder(s) / partners etc. having controlling ownership interest in excess of the prescribed threshold as declared in **Section - I (i)** Following persons(s), have controlling ownership interest in Beneficial Owner of the Entity as declared in Section - I (i) above. Name of Shareholders / Address of Share-holders / Beneficial Details of KYC Nationality % of Shareholding / Sr. PAN / No. Beneficial Owners / Beneficial Owners / Owner Type Doc. & KYC Registration No. Profits / Interest / Partners / Trustees etc. Partners / Trustees etc. (Refer Note C) Identifier Ownership in the Entity 1. 2. 3. Please use additional sheet in case of more information to disclose OR Section - II Applicable, if no person has controlling ownership in excess of the prescribed threshold as declared in Section - I. Please provide the details of natural person(s) holding the position of Directors/ Senior Management in the entity (e.g., Directors/ Partners/ Trustees/ I / We declare that no person / entity holds controlling ownership in the captioned company in excess of the threshold limit prescribed Authorised Signatory (ies) (i) Signature \_\_\_ Authorised Signatory (ies) (ii) \_\_ Signature \_ Authorised Signatory (ies) (ii) \_ Signature \_\_

### A. As per the Prevention of Money laundering (Maintenance of Records) Rules, 2005,

The beneficial owner for the purpose of sub-rule (1) shall be determined as under -

- (a) Where the client is a Company, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has a controlling ownership interest or who exercises control through other means. Explanation For the purpose of this sub-clause
  - i. "Controlling ownership interest" means ownership of or entitlement to more than 10% of shares or capital or profits of the company;
  - ii. "Control" shall include the right to appoint majority of the directors or to control the management or policy decisions including by virtue of their shareholding or management rights or shareholders' agreements or voting agreements;
- (b) Where the client is a Partnership firm, the beneficial owner is the natural person(s), who, whether acting alone or together, or through one or more juridical person, has ownership of / entitlement to more than 10% of capital or profits of the partnership;
- (c) Where the client is an **Unincorporated Association or Body of Individuals**, the beneficial owner is the **natural person**(s), who, whether acting alone or together, or through one or more juridical person, has **ownership of or entitlement to more than 15% of the property or capital or profits** of such association or body of individuals;
- (d) Where no natural person is identified under (a) or (b) or (c) above, the beneficial owner is the relevant natural person who holds the position of senior managing official;
- (e) Where the client is a Trust, the identification of beneficial owner(s) shall include identification of the author of the trust, the trustee, the beneficiaries with ten percent or more interest in the trust and any other natural person exercising ultimate effective control over the trust through a chain of control or ownership; and
- (f) Where the customer or the owner of the controlling interest is a Company listed on a stock exchange in India, or is a subsidiary of such a company, or is an entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions, it is NOT necessary to identify and verify the identity of any shareholder or beneficial owner of such companies.

## B. Beneficial Ownership Declaration is NOT required in following cases:

Individual accounts, Sole Proprietorship accounts, HUF, Government Departments, Public Sector Undertaking, Local Government Bodies (Municipal Corporation, Gram Panchayats etc.), Company listed on a recognized stock exchange. An entity resident in jurisdictions notified by the Central Government and listed on stock exchanges in such jurisdictions Majority owned subsidiary of a Company listed on a recognized stock exchange.

## C. Beneficial Ownership Declaration to provide details of following

Partnership Firm / LLP: natural persons / partners,	Club: Members / Authorised Signatories / Beneficiary
Trust: Trustees / Settlor / Protector / Beneficiary	Association of Persons: Members / Auth. Signatories / Beneficiary
Foundation: Founder managers/Directors / Beneficiary	Pvt. Ltd companies and Unlisted Public Ltd Companies: Shareholder / Directors / Other Beneficiaries
Society: Members/Authorised Signatories / Beneficiary	In case of Foreign Entity: Shareholder/Director/Other Beneficiaries

### D. Signature on Declaration form

Sr. No.	Type of Entity	Signatory (ies) for Signing BO Declaration
- 1	Partnership Firm / LLP / Trust / Foundation / Society / Club	Authorised Signatories should sign as per the Mode of Operations
ii	Association	All Authorised Signatories should sign
iii	Unlisted Public Limited Companies and Private Limited Companies	Any two Directors or Company Secretary or Authorised Signatories as per Mode of Operations
iv	Foreign Entity	All Authorized Signatories should sign

# **E. KYC Documents of Beneficial Owners** should be submitted as per the OVD under PMLA and RBI Guidelines.

- (1) One recent Photograph
- (2) PAN or Form 60 if PAN is not allotted
- (3) Certified Copy \* of one of the Officially Valid Documents (OVDs) listed below:

Sr. No.	Proof of Identity (PoI)	Proof of Address (PoA)
- 1	Valid Passport	Valid Passport
ii	Valid Driving License	Valid Driving License
iii	Voter's Identity Card issued by Election Commission of India	Voter's Identity Card issued by Election Commission of India
iv	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **	Proof of possession of Aadhaar Number (i.e. Aadhaar letter downloaded from UIDAI website, Aadhaar card) **
٧	Job Card issued by NREGA duly signed by an officer of the State Government	Job Card issued by NREGA duly signed by an officer of the State Government
vi	-	Letter issued by the National Population Register containing details of Name, Address of the customer

<sup>\*</sup> Obtaining a Certified Copy by Reporting Entity (this includes our Company) means comparing the copy of Officially Valid Document (OVD) so produced by the client (i.e. customer) with its Original and recording the same on the copy by the authorised officer of the Reporting Entity"

<sup>\*\*</sup> To Ensure that the Aadhaar No. (on copy of Aadhaar Letter/Aadhaar Card obtained), must be redacted or blackened and it is not legible and the Aadhaar No. should not be entered/stored in any system.