Nippon india Mutual Fund

Payment Details: Amount ₹_

Instrument No.____

Wealth sets you free

COMMON APPLICATION FORM

(To be filled in CAPITAL letters) APP No.:

MFD / RIA INFORMATION (Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank Bro	anch Code/ Internal Code *Em	ployee Unique Identification Number	RIA Code**
A ARN-83784 here)	ARN-			E069303	
*Please sign alongside in case th any interaction or advice by the e the employee/relationship mana	employee/relationship manc	ger/sales person of the above	he EUIN box has been intention distributor/sub broker or notwit	ally left blank by me/us as this t nstanding the advice of in-appro	ransaction is executed without opriateness, if any, provided by
SIGN First / Sole Applican HERE Authorised S			pplicant / d Signatory	Third Ap Authorised	plicant / d Signatory
1. INVESTOR'S FOLIO NUME	BER [Please tick (√) any	one] 🗌 I am a First time in	nvestor across Mutual Fund	ds OR 🗌 I am an existing	investor in Mutual Funds
				umber here, enter your name in section 4 beeed to Section 11. Mode of holding will b	
2. UNITHOLDING OPTION - Please ensure that the sequence of					e. Ref. Instruction No. XI.
	ecurities Depository Limite		,	I Depository Securities Limite	d (CDSL)
DP ID No. Beneficiary Accoun	it No.		Target ID No.		
Enclosures (Please tick any	y one box) : 🗌 Client N	laster List (CML) 🗌 Tra	Insaction cum Holding Sto	atement 🗌 Cancelled Deli	very Instruction Slip (DIS)
3. GENERAL INFORMATION		Zero Balance Folio OInvestr	ment AMODE OF HOLDING :	$\frac{1}{\left[\text{Please tick}(\sqrt{)} \right] \bigcirc \text{Single} \bigcirc \text{Join}}$	t (Defgult) O Any one or Survivor
4. FIRST APPLICANT DETAI				[
NAMEA Mr. Ms M/s					
PAN / PEKRN^**		CKYC Id^**			
Name of Guardian if first app Contact Person for non indiv					
Guardian's Relationship Wit				Proof of Date of Birth and Guard	lian's Relationship with Minor
O Father O Mother O Court A	Appointed Guardian of 1st A		case of Minor)) Birth Certificate () Passp	
STATUSA: O Resident Individ O Society O PIO	dual O PSU C O FI C O Bank C	NRI O Co	nor through Guardian mpany/Body Corporate vernment Body	O Sole Proprietor O I	Trust /Charities / NGOs Defence Establishment Others
Are you involved / providing		(^^^as and when applicable)			
(Applicable only for Non Indiv		O Money Lending /	e / Money Changer Services Pawning	 O Gaming / Gambling / Lo O None of the above 	ottery / Casino Services
Note: In case First Applicant is Non	Individual please attach FATC	A, CRS & UBO Self Certification F	orm (Ref Ins No. XIV) **In case F		
AMandatory for all type of Investor		to be KYC compliant prior to inv	vesting in Nippon India Mutual Fu	Ind. Refer instruction no.II. 5, 6 & X	
5. SECOND APPLICANT DE					
PAN / PEKRN^**) Resident Individual () NRI
				STATUS.C	
6. THIRD APPLICANT DETA					
Mr. Ms. M/s.					
PAN / PEKRN^**		CKYC Id^**		STATUS) Resident Individual () NRI
7. CONTACT DETAILS OF S Correspondence Address ^{##} (P.C ^{##} Please note that your address de	D. Box is not sufficient)		Overseas Address (Mandato	ory for NRI / FPI Applicants)	
	House /Flat No.			House / Flat No.	
	Street Address			Street Address	
City/ Town	State		City/ Town	State	
Country	Pin Code		Country	Pin Code	
Tel. (Res.) STE Mobile No. provided pertains to		Tel. (Off.)	ndent Siblings 🗌 Dependent	Mobile No. (Colotr C Parents A Guardian in ca	se of a minor
Email ID (CAPITAL letters only)					
Email ID provided pertains to 🗌] Self 🗌 Spouse 🗌 Dep	endent children 🛛 Depende	nt Siblings 🛛 Dependent Po	ırents 🛛 A Guardian in case	of a minor
Investors providing Email Id would r Mobile No & Email Id with us to get i no. XV for Terms and Conditions.)	instant transaction alerts via SI	IS & Email. 🗌 I hereby authorize N	IAM India to send important infor	mation and regular updates to me	on WhatsApp. (Refer instruction
8. BANK ACCOUNT DETAIL	LS MANDATORY for Re	demption/IDCW/Refund	ds, if anv (Refer Instruction N	р. III)	· ·
Account No.	M a	n dator		_	ent ONRO ONRE OFCNR
Name of Bank	Ма	ndator	У	Bank Branch	
Branch City	PIN	IFSC Cod	e For Creditvi		9 Digit For Credit via NEFT
Please ensure the name in this appli					
			,	5. p. p. 2	
Nippon india Mutual I		To be filled in by th		ACKNOWLEDGMENT SLIP ation of cheque and finishing	
Name of the Investor Mr/Ms/M/s :				APP No.:	
Scheme /Plan/ Option:					Time Stamp & Date

Date :_____ Drawn on Bank _

Registered Office Address: 4th Floor, Tower A, Peninsula Business Park, Ganapatrao Kadam Marg, Lower Parel (W), Mumbai - 400 013.

Equity & Sector Specific CAF / 09th Feb 2023 / Ver 5.9

of receiving office

	9. FATCA and# Please indica													•		
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g i country of National W	,	Tax Paye	er Ref. ID No [*]	Identif	fication Type	Cou	untry # ^**	Tax Pa	ayer Ref. ID No	[*] Ider	ntification Typ	cou	ntry # ^**	Tax Payer I	Ref. ID No [%]	Identification T
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nduced by any rebate or gifts, directly or indirectly, in making this investment. I / We declare that the amount invested in the Scheme is through legitimate sources only and is not designed sourcework on ervasion of any Act / Regulations / Rules / Notifications / Directions or any other Applicable Laws enacted by the Government of India or any Statutory Authority. I access and Terms and Conditions including those excluding/ limiting the Nippon Life India Asset Management Limited (NAM India) liability. Lunderstand that the NAM India may, ot its absolute discretion, the service completely or particily without any prior notice to me. I agree NAM India can debit from my folio for the service charges as gplicable from amongs which the Scheme is being recommere by declare that the above limitent of time. I agree NAM India can debit from my folio for the service completely. Full the transaction charge (if applicable) shall be subscription amount and the said charges shall be pial to the distributors.] I confirm that I am resident of India.] //We confirm that I am (We are Non-Resident of India Nationality)/origin and I) was confirmed that the score provide by the pial to the distributors.] I confirm that I am resident of India. // We confirm that I am (We are Non-Resident of India Nationality)/origin and I) was confirmed that the sole charges as applicable from the second through approved banking channels or from funds in my/ our Non-Resident External / Jordinary Account.] (We undertake avertakes under the second provide by the lus in the best of unknowing happroved banking channels or from funds in my/ our NET //CNR Account.] I hereby declare that the information provided by me / us in the best of unknowing happroved banking channels or from funds in my/ our NET //CNR Account.] I hereby declare that the information provided by me / us in the best of unknowing dapa bellef, true, correct and complete. ++ I/We, have invested in the Scheme(s) of your Mutual Flund dunder Dincet Ha oread Signatory withing the signed and t	amendments theret	to. I/We have	read, understa	od (bef	fore filling applic	ation forr	m) and is/are	bound k	by the details o	f the SA	I, SID & KIM incl	uding details r	elating to vo	arious service:	s. I/We have	not received nor l
aid Terms and Conditions including those excluding/ limiting the Nippon Life India Asset Management Limited (NAM India) liability. Lunderstand that the NAM India' may, at its absolute discretion, the services completely or partially without any prior notice to me. I agree NAM India can debit from my follo for the service charges as applicable from time to time. The ARN holder has discles commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommended mount and the solid charges shall be paid to the distributors.] I confirm that I am resident of India India. [] Uwe confirm that I ang/We are Non-Resident of India India' (I'may Account /FCNR Account.] (We understation be prom funds received from abroad through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account.] (We understation and under this follo will loss be from funds received from abroad through approved banking channels or from funds in my/our Non-Resident External /Ordinary Account.] (We understation the documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete. +t (We, have invested in the Scheme (s) of your Mutual Fund under scevered for our movi currect and complete. +t (We, have invested in the Scheme (s) of your Mutual Fund under scevered for motion at the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through approved banking channels or from funds in my/our Non-Resident External /Ordinary Account.] (We understation provided by me /us are, to the best of our knowledge and belief, true, correct and complete. +t (We, have invested in the Scheme (s) of your Mutual Fund under scevered for motion and / Authorised Signatory Signal asset First / Sole Applicant / Guardian / Authorised Signatory	nduced by any reb contravention or evo	ate or gifts, a asion of any A	lirectly or indirectly or indirectly or indirect	ectly, in is / Rule:	making this inv s / Notifications	estment. / Directior	I / We declare	e that th Applica	he amount inv able Laws enac	ested ir ted by 1	the Scheme i he Governmer	is through legit nt of India or an	timate source y Statutory /	ces only and Authority. I ac	is not desig cept and ac	ned for the purpo gree to be bound b
commissions (in the form of trail commission or any other mode), payable to him for the different competing Schemes of various Mutual Funds from amongst which the Scheme is being recommerely declare that the information and the science of through normal banking channels or from funds in my/our Non-Resident External /Ordinary Account/FCNR Account. //We undertake unarchases made under this folio will also be from funds in the information provided by me/us are, to the best of our knowledge and belief, true, correct and complete. + +1/We, hadre viewsted in the Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the scheme (s) of your Mutual Fund under Direct Plan declare that the scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the scheme (s) of your Mutual Fund under Direct Plan declare that the scheme (s) of your Mutual Fund under Direct Plan declare that the scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of your Mutual Fund under Scheme (s) of your Mutual Fund under Direct Plan declare that the Scheme (s) of you	aid Terms and Con	ditions includ	ling those exclu	uding/li	imiting the Nipp	on Life Ind	lia Asset Manc	agemen	nt Limited (NAM	India) I	iability. I under	stand that the	NAM India n	nay, at its abso	olute discre	tion, discontinue a
ubscription armount and the said charges shall be paid to the distributors but the funds for subscription have been remitted from abroad through normal banking channels or from funds in my/our Non-Resident zeternal /ordinary Account. [/Ne undertak burchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our Non-Resident zeternal /ordinary Account. [/Ne undertak burchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our Non-Resident zeternal /ordinary Account. [/Ne undertak burchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our Non-Resident zeternal /ordinary Account. [/Ne undertak burchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/our Non-Resident zeternal /ordinary Account. [/Ne undertak baccoumentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete. ++1 /We, have invested in the Scheme(S) dy our Mutual Fund under Direct Pla our my/our consent to share/provide the transactions data feed/ portfolio holdings/NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above ment verride registry on DND / DNDC, as the case may be. SIGN First / Sole Applicant / Guardian / Second Applicant / Authorised Signatory Authorised Signatory Authorised Signatory Authorised Signatory Authorised Signatory Mutual Formace is the service. A NIMF Virtual Branch Experied For more details : Visit : <u>https://mf.nipponindir</u> You can also follow us on Sign Risk / Sole Applicance SMS balance (space) last 6 digits of folio Sign Risk / Signator (SMS burdence (space) last 6 digits of folio Nor analso follow us on Nor analso follow us on Nor analso follow us on Nor analsochi. SMS balance (space) last 6 digi	ommissions (in the	form of trail	commission or	r any otl	her mode), payo	able to hir	n for the differ	ent con	npeting Schem	ies of vo	arious Mutual F	unds from am	ongst which	the Scheme	is being rec	ommended to me
purchases made under this folio will also be from funds received from abroad through approved banking channels or from funds in my/ our NRE/FCNR Account. [] hereby declare that the information provided by me/us in the Form, its supporting Ann he documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete. ++1/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan or all Schemes Managed by you, to the above meni Distributor / SEBI-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of commover registry on DND / DNDc, as the case may be. SIGN First / Sole Applicant / Guardian / Authorised Signatory Main Applicant / Authorised Signatory Authorised Signatory Main Applicant / Authorised Signatory Third Applicant / Authorised Signatory Simply send **SMS to 966 400 1111 to avail below facilities Investor Service. A NIMF Virtual Branch Experies Nave SMS mynav SMS mynav (space> last 6 digits of folio Folio Nave SMS balance SMS balance (space> last 6 digits of folio You can also follow us on	ubscription amoun	it and the sai	d charges shall	be paid	d to the distribute	ors. 🗌 I co	nfirm that I an	n reside	nt of India. 🔲 I	We cor	firm that I am/	We are Non-Re	esident of In	dian National	ity/Origin ar	nd I/We hereby cor
he documentary evidence provided by me/us are, to the best of our knowledge and belief, true, correct and complete. ++ !/We, have invested in the Scheme(s) of your Mutual Fund under Direct Plan or uny/our consent to share/provide the transactions data feed/ portfolio holdings/ NAV etc. in respect of my/our investments under Direct Plan of all Schemes Managed by you, to the above ment bistributor / StBl-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of comp bistributor / StBl-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of comp bistributor / StBl-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of comp bistributor / StBl-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of comp bistributor / StBl-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of comp bistributor / Authorised Signatory	ourchases made un	der this folio	will also be from	n funds i	received from a	broad thro	ough approve	d bankir	ng channels or	from fu	nds in my/ our	NRE/FCNR Acc	ount. 🔲 I hei	reby declare t	hat the infor	rmation provided i
Distributor / SEB-Registered Investment Adviser. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of commoverride registry on DND / DNDC, as the case may be. SIGN	he documentary ev	idence provi	ded by me/us a	are, to th	ne best of our kno	owledge c	and belief, true	, correct	t and complete	e. ++ I/W	/e, have investe	ed in the Schem	ne(s) of you	r Mutual Fund	under Direct	t Plan. I/We hereby
SIGN HERE First / Sole Applicant / Guardian / Authorised Signatory Second Applicant / Authorised Signatory Third Applic Authorised Signatory Mathematical Signatory Mathematical Signatory Add convenience to your life with our value added service Investor Service. A NIMF Virtual Branch Experied Simply send **SMS to 966 400 1111 to avail below facilities Investor Service. A NIMF Virtual Branch Experied Simply send **SMS to 966 400 1111 to avail below facilities Investor Service. A NIMF Virtual Branch Experied Investor Service. A NIMF Virtual Branch Experied For more details : Visit : https://mf.nipponindide Balance SMS Balance SMS balance <space> last 6 digits of folio Last 3 Transaction SMS transaction SMS transaction SMS transaction</space>	istributor / SEBI-Reg	gistered Inve	stment Advise	r. I herek	by authorize the	represent	atives of Nipp	on Life Ir	ndia Asset Man	ageme	nt Limited and	its Associates t	contact m	he through any	y mode of co	ommunication. Thi
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Equity & Sector Specific CAF / 09th Feb 2023 / Ver 5.9

Nippon inclia Mutual Fund Wealth sets you free

SIP ENROLLMENT DETAILS

APP No.:

MFD / RIA INFORMATION Name & ARN Code	Sub Agent ARN Code	Sub Agent Code /Bank B	ranch Code/ Interno	Il Code *Emp	loyee Unique Identifica	tion Number	RIA Code**		
ARN-83784 p here)				E	069303				
*Please sign alongside in case the EUIN is employee/relationship manager/sales persor									
SIGN First / Sole Applico	int / Guardian /						/		
HERE Authorised	Signatory				Authorised Signatory				
Upfront commission shall be paid direct APPLICANT DETAILS	ctly by the investor to the AM	IFI registered distributor based	on the investor's ass FOLIO NO.	-	ious factors includinç	g the service rendered	by the distributor.		
Name of Sole/1st holder Mr./Ms.	/M/s		FOLIO NO.	PAN No / P	EKRN. M A N	D A T O R Y	КУС		
Name of 2nd holder Mr./Ms.				PAN No / P	EKRN. M A N	D A T O R Y	П КҮС		
Name of 3rd holder Mr./Ms.				PAN No / P	EKRN. M A N	D A T O R Y			
INITIAL INVESTMENT DETAILS				-					
Cheque/ DD No		/ DD Date	DD Charg						
Net Amount ₹ UNITHOLDING OPTION De	Bank Name:	cal Mode (Ref. Instruction No	24) Demat Account			City:			
	urities Depository Limite					rities Limited (CDSL))		
DP ID No. Beneficiary Account	No. I N		Target ID No.						
Enclosures (Please tick any or	ne box) : 🗌 Client Mo	aster List (CML) 🗌 Tran	saction cum Hol	ding Stater	ment 🗌 Cancel	led Delivery Instru	iction Slip (DIS)		
SIP DETAILS (Refer Instruction No. 14. If	the investor wishes to invest Frequency			cheme name. F SIP		SID/KIM for product lab			
Scheme / Plan / Option	(Please√any one)	Enrollment Period	SIP Date	Amount	Amount	Frequency	Count		
	Daily ^{ss} Weekly ^{ss} Monthly (Default)	From M M Y Y Y Y To ^{\$} M M Y Y Y Y	(Any date from 1 st to 28 th of a given month)**	(in figures)	₹(Multiples of ₹ 100 only	Half-yearly Yearly (Default)	Increase SIP amount time(s) (Default 1 time)		
** In case of Nippon India Tax Saver Fund, Ni \$ Incase the SIP 'End Date' is incorrect/ not legibl	ppon India Retirement fund - Ind	come Generation Plan & Nippon Indi	a Retirement fund- Wea			Amount should be ₹ 500 an icable for normal SIP and no	nd in multiples of ₹ 500/		
	of your Mutual Fund under Dire les Managed by you, to the ab lippon Life India Asset Manag understand that the amount	et Plan. I/We hereby give you my ove mentioned Mutual Fund Distri ement Limited and its Associates will be debited from the Bank a	ibutor / SEBI-Registere s to contact me throug	ed Investment A gh any mode of	Adviser. communication. This	will override registry on I ay - Individuals Mandat Third Applic	DND / DNDC , as the case e Form. Cant /		
HERE Authorised	0 /			ou would like to i	nvest in schemes of NI	Authorised Sig MF on any transaction do	1		
UMRN (For Office Use O	alth sets you free			(Applicable	Date:	(NACH / Direct tional Purchases as w	BANK MANDATE Debit Mandate Form) ell as SIP Registration)		
	fice Use Only)				Create	X Modify	× Cancel		
Utility Code (For Office Use O			by authorize			ia Mutual Fund			
to debit (tick√) SB / CA / C	C / SB-NRE / SB-NRG	O / Other Bank a/c n	umber (Dest		count Number)				
	stination Bank)								
an amount of Rupees		(Amount in wo		–			int in figure)		
DEBIT TYPE Fixed Amount	(Folio No.)	ount <u>FREQUENCY</u> : <u>×</u>	і Г	uarterly <u>×</u>	Half Yearly	× Yearly √ as &	& when presented		
Reference 1 I. lagree for the debit of mandate confirm that the declaration has instructions as agreed and signe / amendment request to the user From : D D M M V V V To: D D M M V V V Or Until Cancelled	processing charges by been carefully read, ui d by me. 3. I have under entity / corporate or the	nderstood & made by me stood that I am authorized	:/us. I am authori: I to cancel/amen rized the debit. Signature	sing the use d this mand	r entity/Corporat ate by appropriat t Holder	e to debit my acco	unt, based on the g the cancellation		
Phone No:	1 Name a	as in Bank Record	2 Name of	as in Bank R	ecord 3	Name as in I	Bank Record		

- Auto Debit facility is offered only to the investors maintaining their bank accounts with Bank of Baroda / Bank of India / HDFC Bank / ICICI Bank / AXIS Bank / State Bank of India / Union Bank of India / Allahabad Bank / Punjab National Bank / Central Bank of India / Bank of Maharashtra. The above list is subject to change from time to time. National Automated Clearing House (NACH)⁻ is Direct Electronic Debit mode implemented by National Payments Corporation of India (NPC). Jist of banks is available on NPCI vebsite www.npciorgin. The said list is subject to modifications. The investor agrees to abide by the terms and conditions of NACH Debit / Auto Debit facility of Reserve Bank of India / Banks. If any city / bank is removed from the above mentioned list NAM India at its sole discretion may accept Post Dated Cheques (PDC's) from the investors for the balance period.
- discretion may accept restricting of neuropes (FOCs) more than an accept and a particular provider service providers shall not be held responsible or will not be liable for any damages and will not compensate for any loss, damage etc. incurred to the investor. The investor assumes the entire risk of using this facility and takes full responsibility. Investor will not hold NMF / NAM india, its registrars and other service providers responsible if the transaction is delayed or not effected or the investor bank account is debited in advance or after the specific SIP date due to various clearing cycles of NACH Debit / Auto Debit / local holidays. (2)
- Investors are required to submit One Time Bank Mandate Form and SIP Enrolment Form along with a photo copy/cancelled cheque of Debit Bank Account (as mentioned on the One Time Bank Mandate Form) atleast 25th calendard rays before the first SIP Installment date for NACH Debit & Auto Debit Clearing. In case One time Bank Mandate Form is already registered in the folio then the subsequent SIP registration request provided in the same folio will be processed with in 12 calendar days. (3)
- (4) An investor can opt for Daily, Weekly, Monthly, Quarterly and Yearly frequency for SIP. In case the investor has not specified the frequency then by default the frequency will be treated as Monthly. If an investor does not mention SIP start date appropriately, the SIP will by default start from the next month after meeting the minimum registration requirement of 26th calendar days or 12 calendar days as applicable (Refer point 3).

calendar days as applicable (Heter point 3). SIP start date should be within 6 months from the date of submission of SIP application for Daily, Weekly, Monthly, Quarterly ar Yearly frequencies. In case the SIP start date is more than 6 months from the date of submission, such SIP application shall I liable for rejection. If an investor does not mention SIP end date appropriately the tenure of SIP will be treated as perpetual i.e. th end date shall be considered as December 2099. In case an investor, who has opted for Perpetual SIP, subsequently intends to discontinue the same, a written communication thereof will be required to be furnished.

- discontinue the same, a written communication thereof will be required to be furnished. An investor shall have the option of choosing for I or more than I SIP in the same scheme same plan and in the same month. The investor can choose the SIP Dates from Ist to 28th of any given month for SIP registered through One Time Bank Mandate. Only for Daily SIP frequency in case of mandates received with dates between 28th to 3lst of a month, the SIP would commence from 1st of the subsequent month. For Daily SIP frequency option SIP will be executed on Daily basis and for Weekly SIP frequency option SIP will be executed on 1st, 8th, 15th and 22nd of every month. More than one SIP for the same debit date shall be acceptable. If an investor does not mention SIP Date in the opplication form or multiple SIP dates are methoned in the SIP Mandate or the SIP Date is unclear in the application form / SIP Mandate, the default SIP date shall be treated as 10th as per the frequency defined by the investor. In case the criteria are not met the SIP would start on the same date from the next month. Investors should check the some ot the Designated Investor Service Centre of Nippon India Mutual Fund before investing. Units will not be created in cases of Non Transaction Day / Holiday in case of Daily SIP. Daily SIP Frequency would not be available in case of Daily IDCW Plans of the Schemes. (5)
- For details about the Scheme and its facility please refer the SID, SAI & KIM of the respective schemes / Addendum issued from time to time carefully before investing. (6)
- In case of three consecutive failures due to insufficient balance in bank account while processing request for SIP, NAM India shall reserve the right to terminate the SIP without any written request from the investor. In the meantime, if any debits for subsequent installments is effected by banker, then Units would get created for the same. (7) (8)

SIP Amount:

SIP Frequency	SIP Period	Minimum Amount				
Daily	Minimum 3 months	100 per Instalment and in multiples of Rs.1 thereafter				
Dully	Minimum 1 month	500 per Instalment and in multiples of Rs.1 thereafter				
Weekly	Minimum 1 year	100 per Instalment and in multiples of Rs.1 thereafter				
WEEKIY	Minimum 3 months	500 per Instalment and in multiples of Rs.1 thereafter				

- (9) In case an investor wishes to change the bank account details for the existing SIP registered through Auto debit / NACH Debit mode, then he has to provide Change of bank details for One Time Bank Mandate. The existing SIP registration will not get cancelled. The investor has to submit the Change of bank details for One Time Bank Mandate atleast 21 business days prior to the next SIP bebit date.
- In case the Investor wishes to cancel the One Time Bank Mandate / SIP, Investor will have to submit an One Time Bank Mandate Cancellation Form or SIP cancellation form, 21 business days prior to discontinuation. (10)
- Investors may note that all the transactions executed through invest Easy such as "Online Transactions" (whether on our website or through any other application using the internet) "Transactions through call center," Transactions through SMS, "Transactions through Mobile Phone" or any other facility as offered by NIMF from time to time using the IPIN / One Time Password (OTP) will be considered as transaction through the mentioned broker (ARN) mentioned on this "SIP Enrollment Details" form. (11)
- The Broker Code given in this mandate will be applicable for all the transactions done through Invest Easy mode. In case there is a change of Broker Code then the investor are requested to cancel the existing mandate and register a fresh mandate with us. (12)
- (13) For Direct Investment Please Mention "Direct in the Column "Name & Broker Code/ARN.

Investors are required to clearly indicate the plans/options in the application form of the scheme. Investor may note that following shall be applicable for default plan (14)

Sr	Broker Code mentioned by the investor	Plan mentioned by the investor	Default Plan to be captured	
1	Not mentioned	Not mentioned	Direct Plan	
2	Not mentioned	Direct Plan	Direct Plan	
3	Not mentioned	Regular Plan/Other than Direct Plan	Direct Plan	
4	Mentioned	Direct Plan	Direct Plan	
5	Direct	Not mentioned	Direct Plan	
6	Direct	Regular Plan/Other than Direct Plan	Direct Plan	
7	Mentioned	Regular Plan/Other than Direct Plan	Regular Plan/Other than Direct Plan	
8	Mentioned	Not mentioned	Regular Plan/Other than Direct Plan	

In cases of wrong/ invalid/ incomplete ARN codes mentioned on the application form, the application shall be processed under Regular Plan. The AMC shall contact and obtain the correct ARN code within 30 calendar days of the receipt of the application form from the investr/distributor. In case, the correct code is not received within 30 calendar days of the receipt of the application form transaction under Direct Plan from the date of application without any exit load. Similarly, in the absence of clear indication as to the choice of option (Growth or Payout of Income Distribution cum capital withdrawal option), by default, the units will be allotted under the Growth Option of the default /selected plan of the scheme.

- (15)Applications should be submitted at any of the Designated Investor Service Centre (DISCs) of NAM India or KFin Technologies Limited (Formerly KFin Technologies Private Limited).
- (16) Existing unit holders should note that unit holders' details and mode of holding (single, jointly, anyone or survivor) will be as per the xistina Account.
- NAM India reserves the right to reject any application without assigning any reason thereof. NAM India in consultation with Trustees reserves the right to withdraw these offerings, modify the procedure, frequency, dates, load structure in accordance with the SEBI Regulations and any such change will be applicable only to units transacted pursuant to such change on a prospective basis. (17)

- No entry load will be charged wi enrolment of SIP will be applicable with effect from August 1, 2009. Exit Load as applicable in the respective Sch (19)
 - In order to transact through Call Center, SMS, online mode whether through NIMF website or any other application using th In order to transact through Call Center, SMS, online mode whether through NIMF website or any other application using the internet and (or through Noble) or any other device, the investor needs to have the IPN, issued by NAM India, Sy filling this form the investor will be issued IPN by default in case he has not opted for the same earlier. This IPN can also be used by the investor to transact online. If only the email of the investor are distered with NAM India (NIMF, investor can execute Transaction through Call Center, Transaction through mobile WAP (Web Access Portal) Site, Transaction through NMF website. If only the mobile number of the investor is registered with NAM India/NIMF, investor can execute transaction only through SMS. For further details investors arerequested to refer SAI. Kindly note that in case of a folio with joint Unitholders, having mode of operations as 'either or survivor' or 'anyone or survivor any one of the Investor(s) can transact through SMS, provided that such instruction is received vide an SMS from the mobile number registered with NAM India with respect to the concerned folio.
- (20)
- Permanent Account Number (PAN): SEBI has made it mandatory for all applicants (in the case of application in joint names, each (21) Permanent Account Number (PAN): SEBI has made it mandatory for all applicants (in the case of application in joint names, each of the applicants) to mention his/her permanent account number (PAN) irrespective of the amount of purchase. Where the guardian, signing on behalf of the minor, as the case may be in order to verify that the PAN of his/ her father or mother or the guardian, signing on behalf of the minor, as the case may be in order to verify that the PAN of the applications (in case of application in joint names, each of the applicants), the applicants shall attach along with the purchase applications, an photocopy of the PAN card duly self-certified along with the original PAN Card. The original PAN Card will be returned inmediately across the counter after verification. Micro SPA investors residing in the state of Sikkim are exempted from the mandatory requirement of PAN proof submission however they are required to mandatorily submit KYC Acknowledgement copy. Applications not complying with the above requirement may not be accepted/processed. Additionally, in the event of any Application Form being subsequently rejected for mismatch / non-verification of applicant's PAN details with the details on the website of the income Tax Department, the investment transaction will be cancelled and the amount may be redeemed at the applicable https://mf.nipponindiam.com for further details.
- further details. Prevention of Money Laundering and Know Your Client (KYC): SEBI has prescribed uniform uniform KYC compliance procedure for all the investors dealing with them. SEBI also issued KYC Registration Agency ('KRA') Regulations 2011 and the guidelines in pursuance of the soid Regulations and for In-Person Verification (TPV). All investors (Individual and non- individual) are required to be KYC compliant. However, applicants should note that minors cannot apply for KYC and any investment in the name of minors should be through a Guardian, who should be KYC compliant for the purpose of investing with a Mutual Fund. Should the applicant desire to change KYC related information, PGS will lextend the services of effecting such changes. In case of an existing investor of NIMF who is already KYC Compliant under the enstwhile centralized KYC with CVL (CVLMF) then there will be no effect on subsequent Purchase/Additional Purchase (or oraging SIFs). Fist, on the existing foilos/accounts which are KYC compliant. Existing Folio holder can also open a new folio with Nippon India Mutual Fund with the enstwhile centralized KYC. (22)
 - Existing Folio holder can also open a new folio with Nippon India Mutual Fund with the estivulate controllate KYC. (i) In case of an existing investor of Nippon India Mutual Fund and who is not KYC Compliant as per our records, the investor will have to submit the standard KYC Application forms available in the website www.cvitrac.com along with supporting documents at any of the SEBI registered intermediaries at the time of purchase / additional purchase / new registration of SIP/STP etc. In Person Verification (IPV) will be mandatory at the time of KYC Submission. (ii) Investors who have complied with KYC process before December 31, 2011 (KYC status with CVL-KRA as "MF VERIFIED BY CVLMF") and not invested in the schemes of Nippon India Mutual Fund is not opened a folio earlier, and wishes to invest on or distr December 01, 2012, such investors will be required to submit! missing/not available' KYC information and complete the IPV requirements.

the IPV requirements. Updation of missing / not available' KYC information along with IPV is currently a one-time requirement and needs to be completed with any one of the mutual funds i.e. need not be done with all the mutual funds where investors have existing investments. The said form is available on NIMFs website i.e. https://mtnippointialain.com or on the website of association of Mutual Funds in India i.e. www.amflindi.com or on the website of any authorised KRAS. Once the same is done then the KYC status at CVL-KRA will change to Verified by CVL KRA' after due verification. In such a scenario, where the KYC status changes to Verified by CVL KRA, investors need not submit the 'missing/not available' KYC information to mutual funds again. Communication for the investors: in accordance with SEB Circular No. Cirl / IND/ DF/I6/ 2011 dated September 8, 2011 and SEB Circular no. CiR/(MRD/DP/31/2014 dated November 12, 2014 the investor whose transaction has been accepted by the NAM India/NIMF shall receive a confirmation by way of email and/or SMS within B Susiness Days from the date of receipt of transaction request, same will be sent to the Unit holders registered e-mail address and/or mobile number. Thereafter, a Consolidated Account Statement ("CAS") shall be issued in line with the following procedure: 1. Consolidation of account statement afted libe done on the basis of PAN. In case of multiple holdina. It shall be PAN of the first

- Consolidation of account statement shall be done on the basis of PAN. In case of multiple holding, it shall be PAN of the first holder and pattern of holding.
- Induce in a patient of induing. The CAS shall be generated on a monthly basis and shall be issued on or before 10th of the immediately succeeding month to the unit holder(s) in whose folia(s) transaction(s) inas/have taken place during the month. In case there is no transaction in any of the mutual fund folias than CAS detailing holding of investments across all schemes of all Mutual Funds will be issued on half yearly basis [at the end of every six months (ie. September/ March)] З.
- Investors having MF investments and holding securities in Demat account shall receive a Consolidated Account Statement containing details of transactions across all Mutual Fund schemes and securities from the Depository by email / physical 4. mode
- mode. Investors having MF investments and not having Demat account shall receive a Consolidated Account Statement from the MF industry containing details of transactions across all Mutual Fund schemes byemail /physical mode. The word transaction's hall include purchase, redemption, switch, Proyout of Income Distribution cum capital withdrawal applian, Reinvestment of Income Distribution cum capital withdrawal optic, systematic investment plan, systematic withdrawal plan and systematic transfer plan transactions. CAS shall not be received by the furth holders for the folio(s) wherein the PAN details are not updated. The Unit holders are therefore requested to ensure that the folio(s) are updated with their PAN. For Micro SIP and Sikitm based investors whose PAN details are not mandatorily required to be updated Account Statement will be dispatched by NAM India/NIMF for each calendar month on or before 10th of the immediately succeeding month. 5. Ver 3.8 month

In case of a specific request received from the Unit holders, NAM India / NIME will provide the account statement to the investors within 5 Business Days from the receipt of such request

- 09th Feb 2023 Units held in the dematerialized form: Unitholders can have a option to hold the units in dematerialized form in terms of the guidelines / procedural requirements as laid by the Depositories (NSDL/CDSL) / Stock Exchanges (NSE / BSE). Please ensure that the sequence of names as mentioned in the application form matches with that of the account held with any one of the Depositive directionant. (24) orm ository Participant.
- (25) (26)
- Depository Participant. Employee Unique Identification Number (EUN) would assist in tackling the problem of mis-selling even if the employee / relationship manager/sales person leave the employment of the distributor. Nippon India STEP-UP Facility: Under this facility the Investor can increase the SIP installment (excluding MICRO SIP) at pre-defined intervals by a fixed amount. This facility is available for all categories of investors. For availing the said facilities, investors are required to note the following: OTBM + SIP

 Investor willing to register STEP-UP should provide the STEP-UP details along with the SIP enrolment details and is also required to Linvestor willing to register STEP-UP should provide the STEP-UP details along with the SIP enrolment details and is also required to fillup^o One Time Bank Mandate Form 'from which the amount shall be debited. Investors who are currently registered under invest Easy – Individuals facility with is 100-1 and in multiples of 100/- [sceed for Nippon India Tax Saver (ELS) Fund. Nippon India Retirement fund – Income Generation Plan & Nippon India Retirement fund- Wealth Creation Plan for which the minimum amount for shall be 1500/- and in multiples of 1500/- (s. 2007). Source (SES) Fund. Nippon India Retirement fund – Income Generation Plan & Nippon India Retirement fund- Wealth Creation Plan for which the minimum amount shall be 1500/- and in multiples of 1500/- (s. 2017). Source STEP-UP Irequency is not indicated, it will be considered as 'tearly by Default. 4. There should be clear indication about STEP-UP Caunt i.e. the number of times the SIP installment amount should be increased. In cases STEP-UP Fraquency and month is mentioned and STEP-UP count is not indicated, it will be considered as 'to how be increased. In cases STEP-UP Fraquency caunt is not indicated, it will be considered as 'to how be increased. In cases STEP-UP Fraguency can be installed to the number of the interest should be increased. In form should be lease than or equal to the enclosed at STEP-UP count is not indicated, it will be considered as 'to how be increased. The date for Nippon India STEP-UP Fracility will correspond to the registered SP. The encliment period specified in the Nippon India STEP-UP form should be less than or equal to the encliment period mentioned in the SIP. 6. In case of any deviation in period, the tenure of the SIP shall be considered.

*I/We hereby declare that the particulars given on this mandate are correct and complete. If the transaction is delayed or not effected at all for reasons of incomplete or incorrect information, I/We would not hold Nippon India Mutual Fund, their representatives, service providers, participating banks & other user institutions responsible. I/We have read the Terms & Conditions and agree to discharge the responsibility expected of me/us as a participant/s under the scheme. I/We authorize use of above mentioned contact details for the purpose of this specific mandate instruction processing. I/We hereby confirm adherence to terms on this mandate. I hereby authorize the representatives of Nippon Life India Asset Management Limited and its Associates to contact me through any mode of communication. This will override registry on DND / DNDC, as the case may be.

INSTRUCTIONS cum TERMS AND CONDITIONS

(23)

Authorisation to Bank: I/We wish to inform you that I/we have registered with Nippon India Mutual Fund for NACH / Direct Debit through their authorised Service Provider(s) and representative for my/our payment to the above mentioned beneficiary by debit to my/our above mentioned bank account. For this purpose I/We hereby approve to raise a debit to my/our above mentioned account with your branch. I/We hereby authorize you to honor all such requests received through to debit my/our account with the amount requested, for due remittance of the proceeds to the beneficiary.

FOR OFFICE USE ONLY (Not to be filled in by Investor)						
Affix Barcode	Date and Time Stamp No.					

Nippon india Mutual Fund

SYSTEMATIC TRANSFER PLAN (STP) ENROLMENT FORM TO BE FILLED IN CAPITAL LETTERS. PLEASE () WHEREVER APPLICABLE

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AUTORSED STORATORY AUTORSED STORATORY AUTORSED STORATORY	Authorised Signatory							

Nippon india Mutual Fund Wealth sets you free	owledgment Receipt of STP Application Form (To be filled in by the Unit holder)
FOLIO NO. STP Application Fixed Transfer STP Capital Ap	ppreciation STP
Received from	
Amount of Transfer per Instalment ₹	
From Scheme / Plan / Option	Stamp of receiving branch
to Scheme / Plan / Option	& Signature
Mode & Frequency of STP	